

# Contents

<b>Preface</b>	<b>xiii</b>
<b>Acknowledgements</b>	<b>xv</b>
<b>About the Companion Website</b>	<b>xvii</b>
<b>1 Introduction</b>	<b>1</b>
1.1 A Potted History of X-rays	6
1.2 Synchrotron Sources over the Last Seventy Years	13
References	17
<b>2 The Interaction of X-rays with Matter</b>	<b>19</b>
2.1 Introduction	19
2.2 The Electromagnetic Spectrum	21
2.3 Compton Scattering	22
2.4 Thomson Scattering	25
2.5 Atomic Scattering Factors	26
2.5.1 Scattering from a Cloud of Free Electrons	26
2.5.2 Correction Terms for the Atomic Scattering Factor	28
2.6 The Refractive Index, Reflection, and Photoabsorption	32
2.6.1 The Refractive Index	32
2.6.2 Refraction and Reflection	33
2.6.3 Photoabsorption	38
2.7 X-ray Fluorescence and Auger Emission	42
2.7.1 X-ray Fluorescence	42
2.7.2 Auger Emission	45
2.7.3 Fluorescence or Auger?	45
2.8 Concluding Remarks	46
Problems	47
References	49
<b>3 Synchrotron Physics</b>	<b>51</b>
3.1 Introduction	51
3.2 Overview	51
3.3 Production of Light by Acceleration of Charged Particles	55
3.4 Forces Acting on a Charged Particle by Electromagnetic Radiation	57

3.5	Radiation from Relativistic Electrons	58
3.5.1	Synchrotron Radiation	58
3.5.2	Bremsstrahlung	62
3.5.3	Magnetic Deflection Fields	63
3.5.4	Radiated Power Loss in Synchrotrons	65
3.6	Radio-frequency Power Supply and Bunching	66
3.7	Photon-beam Properties	69
3.7.1	Flux and Brilliance	69
3.7.2	Emittance, Radiation Equilibrium, and Quantum Excitation	69
3.7.3	Coherence	73
3.7.4	Polarization of Synchrotron Radiation	76
3.8	The Magnet Lattice	77
3.8.1	Bending Magnets and Superbends	78
3.8.2	Betatron Oscillations and the Dynamic Aperture	80
3.8.3	Quadrupole and Sextupole Magnets	81
3.8.4	Orbit Control and Feedbacks	81
3.8.5	Multiple-bend Achromats and DLSRs	82
3.9	Insertion Devices	86
3.9.1	Wigglers	88
3.9.2	Damping Wigglers	89
3.9.3	Undulators	90
3.9.4	Undulators at DLSRs	97
3.9.5	Echo-enabled Harmonic Generation at DLSRs	99
3.9.6	Control of Polarization using Undulators	100
3.10	Concluding Remarks	101
	Problems	103
	References	105
<b>4</b>	<b>Free-electron Lasers</b>	<b>107</b>
4.1	Introduction	107
4.2	XFEL Architecture	110
4.3	The SASE Process	112
4.4	Properties of XFEL Beams	117
4.4.1	Tuning the Photon Energy	117
4.4.2	Source Fluctuations	117
4.4.3	Degree of Monochromaticity	117
4.5	Seeding	118
4.5.1	High-brilliance SASE using an Array of Short Undulators and Chicanes	119
4.5.2	Self-seeding of Hard XFEL-radiation using Diamond Monochromatization	120
4.6	Radiation Damage and Heat Loads	120
4.6.1	Thermal Loads on Optics	121
4.6.2	Sample Irradiation	122
4.7	XFELs and THz Radiation	123
4.8	Concluding Remarks	124
	Problems	124
	References	126

<b>5</b>	<b>Beamlines</b>	<b>129</b>
5.1	Introduction	129
5.2	Front End	129
5.2.1	X-ray Beam-position Monitors	129
5.2.2	Primary Aperture and Front-end Slits	131
5.2.3	Low-energy Filters	131
5.3	Basics of X-ray Optics	132
5.3.1	Ray Optics	133
5.3.2	Spherical Surfaces and Aberrations	134
5.3.3	Wave Optics	137
5.4	Primary Optics	142
5.4.1	X-ray Mirrors	143
5.4.2	Monochromators	145
5.4.3	Higher Harmonics	155
5.4.4	Double-crystal Deflectors	158
5.5	Microfocus and Nanofocus Optics	159
5.5.1	Compound Refractive Lenses	160
5.5.2	Tapered Glass Capillaries	162
5.5.3	Fresnel Zone Plates	163
5.5.4	Multilayer Laue Lenses	166
5.6	Beam-intensity Monitors	167
5.7	Detectors	168
5.7.1	Sources of Noise in Detectors	168
5.7.2	Photographic Plates	170
5.7.3	Scintillator Detectors	171
5.7.4	The Point-spread Function	172
5.7.5	Crystal Analysers	172
5.7.6	Image Plates	175
5.7.7	Charge-coupled Devices	175
5.7.8	Pixel and Microstrip Detectors	176
5.7.9	To Integrate or to Count?	180
5.7.10	Energy-dispersive Detectors	181
5.8	Time-resolved Experiments	187
5.8.1	Streak Cameras	187
5.8.2	X-ray Streaking at XFELs	188
5.9	Concluding Remarks	189
	Problems	189
	References	192
<b>6</b>	<b>Scattering Techniques</b>	<b>195</b>
6.1	Introduction	195
6.2	Diffraction at Synchrotron Sources	197
6.3	Description of Crystals	198
6.3.1	Lattices and Bases	198
6.3.2	Crystal Planes	201
6.3.3	Labelling Crystallographic Planes and Axes	202
6.4	Basic Tenets of X-ray Diffraction	202

6.4.1	Introduction	202
6.4.2	The Bragg Law and Reciprocal Lattice	203
6.4.3	The Influence of the Basis	206
6.4.4	Dynamical Diffraction	209
6.5	Diffraction and the Convolution Theorem	210
6.5.1	The Convolution Theorem	210
6.5.2	Understanding the Structure Factor	212
6.6	The Phase Problem and Anomalous Diffraction	212
6.6.1	Introduction	212
6.6.2	The Patterson Map	214
6.6.3	Friedel's Law and Bijvoet Mates	215
6.6.4	Anomalous Diffraction	216
6.6.5	Direct Methods	220
6.7	Types of Crystalline Samples	222
6.8	Single Crystal Diffraction	224
6.8.1	Laue Diffraction	224
6.8.2	Single Crystal Diffraction with Monochromatic X-rays	225
6.9	Textured Samples	227
6.10	Powder Diffraction	228
6.10.1	Introduction	228
6.10.2	Basics of Powder Diffraction	229
6.10.3	The Pair-distribution Function	231
6.11	Macromolecular Crystallography	232
6.11.1	Introduction	232
6.11.2	Geometries and Photon Energies used in MX	238
6.11.3	Opportunities for MX at DLSRs	240
6.11.4	Solving the Phase Problem in MX	242
6.11.5	MX Studies at XFELs	256
6.12	Surface Diffraction	258
6.12.1	Introduction	258
6.12.2	Crystal Truncation Rods	259
6.12.3	Superstructure Rods	262
6.12.4	Data Acquisition	262
6.13	Resonant X-ray Scattering	264
6.14	X-ray Reflectometry	267
6.14.1	Introduction	267
6.14.2	Reflection of X-rays and the Fresnel Equations	268
6.14.3	Thin Films and Multilayers	270
6.14.4	XRR Monitoring of Thin Film Growth	273
6.15	Small-angle X-ray Scattering	275
6.15.1	Introduction	275
6.15.2	Theory	276
6.15.3	Practical Considerations	288
6.15.4	Grazing Incidence SAXS	289
6.16	Concluding Remarks	290
	Problems	291
	References	297

<b>7 Spectroscopic Techniques</b>	<b>303</b>
7.1 Introduction	303
7.2 X-ray Absorption Processes	305
7.2.1 Energy-level Schemes of Atoms, Molecules, and Solids	307
7.2.2 Absorption Features	309
7.3 Photoelectron Energies, Wavelengths, and Absorption Regions	310
7.3.1 The Universal Curve	311
7.3.2 $\sigma$ - and $\pi$ -polarizations	312
7.4 X-ray Absorption Near-edge Structure, XANES	314
7.4.1 Introduction	314
7.4.2 The XANES Signal	315
7.5 Extended X-ray Absorption Fine Structure, EXAFS	318
7.5.1 Introduction	318
7.5.2 The EXAFS Signal	319
7.5.3 Time-resolved Absorption Spectroscopy	324
7.6 Fluorescence Spectroscopies	327
7.6.1 Introduction	327
7.6.2 X-ray Fluorescence	327
7.6.3 Resonant Inelastic X-ray Scattering	327
7.6.4 X-ray Standing Waves	331
7.7 Scanning Transmission X-ray Microscopy, STXM	333
7.7.1 Introduction	333
7.7.2 The Water Window	333
7.7.3 Modes in STXM	335
7.8 Photoemission Electron Microscopy, PEEM	335
7.8.1 Basics of PEEM	335
7.8.2 PEEM and Magnetic Dichroism	338
7.9 Photoemission Spectroscopy	341
7.9.1 Introduction	341
7.9.2 Ultraviolet Photoemission Spectroscopy	343
7.9.3 Soft X-ray ARPES	353
7.9.4 X-ray Photoelectron Spectroscopy	355
7.9.5 Hard X-ray Photoelectron Spectroscopy	358
7.10 Concluding Remarks	359
Problems	360
References	363
<b>8 Imaging Techniques</b>	<b>367</b>
8.1 Introduction	367
8.2 X-ray Computed Microtomography	368
8.2.1 Introduction	368
8.2.2 General Concepts	370
8.2.3 Practical Considerations	374
8.2.4 Phase-contrast Tomography	375
8.2.5 Fast XTM	383
8.2.6 Laminography	384

8.3	Full-field Microscopy	385
8.3.1	Zernike X-ray Microscopy	385
8.4	Lensless Imaging	387
8.4.1	Introduction	387
8.4.2	Speckle	389
8.4.3	Noncrystalline and Crystalline Samples	390
8.4.4	Oversampling and Redundancy	392
8.4.5	Ptychography	393
8.4.6	Scanning SAXS and Small-angle Scattering Tensor Tomography	395
8.4.7	X-ray Photon Correlation Spectroscopy	395
8.5	Concluding Remarks	397
	Problems	398
	References	400
<b>Appendices</b>		
<b>A</b>	<b>Cryogenic Electron Microscopy</b>	<b>403</b>
<b>B</b>	<b>Some Helpful Mathematical Relations and Approximations</b>	<b>409</b>
<b>C</b>	<b>Fourier Series and Fourier Transforms Made Simple</b>	<b>411</b>
C.1	Introductory Remarks	411
C.2	Periodic Functions	413
C.3	From Fourier Series to Fourier Transforms	415
C.4	Mathematical Properties of Fourier Transforms	417
<b>D</b>	<b>Argand Diagrams and the Complex Plane</b>	<b>419</b>
<b>E</b>	<b>Solutions to Problems</b>	<b>423</b>
E.2	Chapter 2 – The Interaction of X-rays with Matter	423
E.3	Chapter 3 – Synchrotron Physics	428
E.4	Chapter 4 – Free-electron Lasers	436
E.5	Chapter 5 – Beamlines	439
E.6	Chapter 6 – Scattering Techniques	446
E.7	Chapter 7 – Spectroscopic Techniques	459
E.8	Chapter 8 – Imaging Techniques	464
<b>F</b>	<b>Glossary</b>	<b>469</b>
<b>G</b>	<b>Physical Constants Relevant to Synchrotron Radiation</b>	<b>473</b>
	<b>Index</b>	<b>475</b>